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Section 1/4  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/840,629	04/23/2001	Jason W. Trobaugh	13297.00019



Saul Ewing LLP  
Centre Square West  
38th Floor  
1500 Market Street  
Philadelphia, PA 19102-2186

CONFIRMATION NO. 3963

FORMALITIES LETTER



\*OC000000006523631\*

Date Mailed: 09/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/10/2001 SDIRETAL 00000021 09840629

01 FC:201	355.00 OP
02 FC:202	280.00 OP
03 FC:203	270.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1100.
  - \$540 for 30 total claims over 20.
  - \$560 for 7 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1940.

Adjustment date: 10/10/2001 SDIRETAL  
 09/21/2001 TUD111 00000006 501089 09840629  
 01 FC:201 355.00 CR  
 02 FC:202 280.00 CR  
 03 FC:203 270.00 CR

A copy of this notice MUST be returned with the reply.

Thank You

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED  
OCT 16 2001  
Technology Center 2600



Practitioner's Docket No. 13297-00019

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jason W. Trobaugh & R. Martin Arthur

Application No.: 09/840,629

Group No.: 2612

Filed: April 23, 2001

Examiner: Not yet Assigned

For: A Physically Based, Probabilistic Model for Ultrasonic  
Images Incorporating Shape, Microstructure and  
System Characteristics

RECEIVED

OCT 16 2001

Technology Center 2600

Box Missing Part  
Assistant Commissioner for Patents  
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION

I. This replies to the Revised Notice to File Missing Parts of Application (PTO-1533) mailed September 7, 2001.

A copy of the Revised Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

MAILING

[XX] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Oct 2, 2001*

FACSIMILE

[ ] transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_ - \_\_\_\_.

*Kathy Haney Kravicek*  
Signature  
*Kathy Haney Kravicek*  
(type or print name of person certifying)

## DECLARATION OR OATH

II. No declaration or oath was filed with the filing of the application. Enclosed is a copy of the original declaration filed on August 30, 2001 in response to the Notice to File Missing Parts mailed July 18, 2001.

## SMALL ENTITY STATUS

III. Applicant is entitled to Small Entity status. A Statement Claiming Small Entity Status was filed on August 30, 2001.

## IV. COMPLETION FEES

### 1. Filing Fee

Original patent application (37 C.F.R. Section 1.16(a))	\$355.00
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### 2. Fees for Claims

Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b))	\$280.00
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Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$270.00
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### 3. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$65.00 (paid in response to the Notice to File Missing Parts mailed July 18, 2001, said response filed August 30, 2001)
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Total Completion Fees	\$905.00
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## **EXTENSION OF TIME**

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## **TOTAL FEE DUE**

VI. The total fee due is:

Completion fees	\$905.00
Extension fee (if any)	\$0.00
Total Fee Due	\$905.00

## **PAYMENT OF FEES**

VII. Enclosed is a check in the amount of \$905.00

Please charge Account No. 50-1089 for any delinquent fees that may be due by this paper.

## **AUTHORIZATION TO CHARGE ADDITIONAL FEES**

VIII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 50-1089.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)  
37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims) 37 C.F.R. Section 1.16(e)  
(surcharge for filing the basic filing fee and/or declaration on a date later than the filing date  
of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))  
37 C.F.R. Section 1.17 (application processing fees)

Date: \_\_\_\_\_

9/26/01



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